



Environmental applications of immobilized microbial cells: a review

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Immobilized microbial cells have been used extensively in various industrial and scientific endeavours. However, immobilized cells have not been used widely for environmental applications. This review examines many of the scientific and technical aspects involved in using immobilized microbial cells in environmental applications, with a particular focus on cells encapsulated in biopolymer gels. Some advantages and limitations of using immobilized cells in bioreactor studies are also discussed.

Keywords: alginate; bacteria; biodegradation; bioremediation; κ -carrageenan; encapsulation; immobilization; microorganisms; soil

Introduction

Immobilized microbial enzymes, organelles, and cells have been used in a variety of scientific and industrial applications. The economic importance of immobilization has resulted in considerable research for industrial applications. Immobilization technology has been used extensively in commercial bioreactor fermentations [151]. Reviews on the use of immobilized organelles [131,132] and enzymes [138–140] have addressed the importance of these respective technologies. Immobilized cells have also been used in bioreactors, and production of useful compounds such as amino acids, organic acids, antibiotics, steroids and enzymes using immobilized cells have been reviewed by Brodelius and Vandamme [24] and Tanaka and Nakajima [199]. Various whole cell techniques [5,103,104,106,131,132,233] and the many applications [5,39,180,199] possible have been examined. The commercial success of these processes illustrates the value of using immobilization technology under controlled conditions.

Immobilization is a general term that describes many different forms of cell attachment or entrapment. These different forms include flocculation, adsorption on surfaces, covalent bonding to carriers, cross-linking of cells, encapsulation in a polymer-gel and entrapment in a matrix (Figure 1). The advantages of various methods of cell immobilization for bioreactor systems have been addressed in several reviews [44,104,106,107,108,109,198,233]. One method that has emerged as successful in the laboratory and useful in commercial applications is the encapsulation of cells in a polymer gel-matrix. Results from bioreactor studies have demonstrated that encapsulated cells have advantages over free cells under numerous conditions. For example, increased metabolic activity and metabolite production [64,178], protection from toxic substances [48,100,101,223,236], and increased plasmid stability

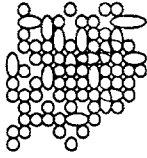
[17,145,146] of encapsulated cells compared to free cells have all been observed.

Increased awareness of the profound effects of environmental problems, such as toxic waste sites and aspects of pesticide usage, has stimulated investigations of technologies which avoid, reduce or eliminate these problems. The use of immobilized cells has been investigated as an alternate technology for environmental applications. For example, the use of immobilized cells in agriculture [16,95,196,207], biocontrol [11], pesticide application [38] and pollutant biodegradation in contaminated soil or groundwater [18,88,122,153–155,176,226,238] have all been examined. However, most of the investigations were performed at the laboratory level, and applications of immobilized cells in the open environment have yet to be realized.

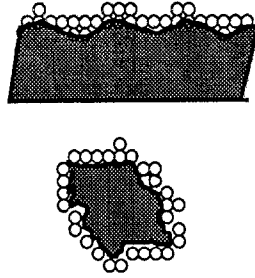
Many different microbial species have been encapsulated in various matrices for different applications. Fungal strains have been encapsulated for biocontrol [11,61] and biodegradation [7]. Encapsulated microalgae have been investigated for metal sequestration [62] and wastewater nutrient removal [37]. The use of encapsulated bacteria for metal uptake [166,232] and degradation of toxic compounds (Table 1) has been explored. Encapsulated thermophilic [96], methanogenic [178], and other anaerobic bacteria [98] have also been investigated for potential commercial uses. The variety of microorganisms which have been encapsulated successfully and the wide range of applications which have been explored attest to the utility and versatility of this technology and its potential for use in the environment. However, encapsulation of microorganisms in a gel-matrix as a carrier for environmental applications, such as the *in situ* bioremediation of contaminated soil or groundwater, is still in the early developmental stage.

This paper will review immobilization of microorganisms for pollutant biodegradation, with an emphasis on applying this technology for *in situ* bioremediation of chemically contaminated soil.

1. Flocculation



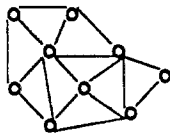
2. Adsorption to surfaces



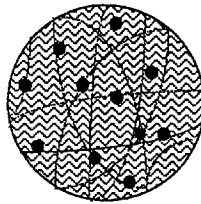
3. Covalent bonding to carrier



4. Cross-linking of cells



5. Encapsulation in polymer-gel



6. Entrapment in matrix

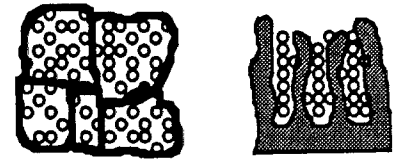


Figure 1 Methods for immobilization of viable microbial cells.

Uses of microorganisms in soil and their application

Microbial inoculants have been investigated for soil applications such as enhancement of symbiotic or associative N_2 fixation, biological control of soil-borne plant pathogens, biological control of frost injury and biodegradation of xenobiotic compounds [212]. Microorganisms have been introduced into soils as liquid suspensions or adsorbed to different carriers such as peat, charcoal, vermiculite and other organic particulate matter [190]. Formulations can contain microorganisms in a usable form and optimize the efficacy, stability, safety and ease of application [173]. Liquid cell-suspensions may be more easily contaminated, more difficult to contain in case of an accidental spill, and may have greater potential for off-site dispersal during application than dry carrier/cell formulations.

Once applied, the success of a bioremediation procedure depends on establishment of microorganisms and on expression of the necessary degradative genes [43,169]. Various methods of microbial introduction into soil have been reviewed [212]. The authors cited examples of variations in survival observed with inoculation into soil from a progressive decline in bacterial numbers to improved survival of rhizobia with the addition of clay, and they suggested results have been variable because soil is a highly heterogeneous and unpredictable environment for introduced bacteria. Both abiotic and biotic factors play critical roles in determining microbial survival. Soil moisture content, temperature, pH, texture, oxygen availability, rate of oxygen diffusion and nutrient availability have been suggested as abiotic factors controlling survival of introduced bacteria in soil [213]. Biological factors include predation by protozoans, a lower level of starvation resistance of the

introduced bacteria [2], and lack of suitable soil niches for extended cell survival [212].

The use of carriers for microorganisms has been proposed as a means with which to overcome some of the problems associated with microbial survival in soil after inoculation. The advantages and disadvantages of various solid carriers for use in soil have been reviewed [207]. The authors suggested that an effective and safe carrier for soil-applied microorganisms should be non-toxic and non-polluting, consistent in quality, have a long shelf life, allow sufficient cell activity and cell density, and permit accurate release of bacteria into the target site. Encapsulation emerged as one of the best candidates because it provides many features which may aid in the introduction and establishment of microorganisms. McLoughlin [133] suggested that microenvironments in the bead may initially protect cells from the soil macroenvironment. Microorganisms are released after adaptation to prevailing environmental conditions. This may enable cells to overcome the numerous changing conditions in soil and increase microbial survival. He also suggested that immobilizing cells by encapsulation in a gel-matrix offers a stable, defined, consistent, protective environment, without the immediate release of large number of cells, where cells can survive and metabolic activity can be maintained for extended periods of time.

Carrier materials

Both natural and synthetic polymers have been used for microbial encapsulation. Algal polysaccharides such as agar, agarose, alginate and carrageenan are classified as natural polymers, whereas polyacrylamide, polystyrene and polyurethane are synthetic polymers [108]. Encapsulation

Table 1 Examples of solid carriers used to immobilize microbial cells for use in biodegradation

Compounds degraded or reactions	Microorganisms	Carriers	References
Acrylamide	<i>Pseudomonas</i> sp <i>Xanthomonas</i> sp	alginate	[148]
3-Chloroaniline	<i>Pseudomonas acidovorans</i> CA28	alginate	[58]
3-Chlorobenzoate dehalogenation	<i>Pseudomonas</i> sp B13	alginate	[175]
4-Chloro-2-nitrophenol	Mixed culture	alginate	[20]
2-Chloroethanol	<i>Pseudomonas putida</i> US2	granulated Lecaton-particles	[162]
Chlorophenols	Mixed culture	alginate	[115]
Chlorophenol	<i>Rhodococcus</i> spp	polyurethane	[209]
Chlorophenols	Activated sludge	celite R-633 microcarriers	[183]
Chlorinated phenols	Several strains	glass cellulose chitin	[168]
4-Chlorophenol	<i>Alcaligenes</i> sp A 7-2	alginate granulated Lecaton-particles	[223, 224]
4-Chlorophenol	<i>Alcaligenes</i> sp A 7-2	granular clay	[14]
<i>p</i> -Cresol	<i>Pseudomonas</i> sp	alginate	[153]
<i>p</i> -Cresol	<i>Pseudomonas</i> sp	alginate	[155]
Cyanuric acid	<i>Pseudomonas</i> sp NRRL B-12228	polyurethane granular clay	[56]
DDT	Mixed culture	alginate	[22]
Dechlorination of spent sulphite bleach effluents	<i>Streptomyces</i> spp	polyurethane	[238]
Dichloroacetic acid	<i>Xanthobacter autotrophicus</i>	alginate	[81]
Glyphosate	Mixed culture	diatomaceous earth pellets	[75]
Hydrocarbons	<i>Candida parapsilosis</i>	granular clay	[161]
Inorganic cyanides	<i>Pseudomonas putida</i>	agar alginate κ -carrageenan	[34]
<i>p</i> -Nitrophenol	Mixed culture (<i>Pseudomonas</i> spp)	diatomaceous earth biocarrier	[82]
PAHs	Mixed culture	granular clay slag of lava	[226]
Pentachlorophenol	<i>Flavobacterium</i> sp	alginate	[153]
Pentachlorophenol	<i>Flavobacterium</i> sp	polyurethane	[154]
Pentachlorophenol	<i>Arthrobacter</i> sp ATCC 33790	alginate	[122]
Pentachlorophenol	<i>Phanerochaete chrysosporium</i>	alginate and activated carbon	[121]
Pentachlorophenol	<i>Arthrobacter</i> sp ATCC 33790	alginate and activated carbon	[186]
Pentachlorophenol	<i>Flavobacterium</i> sp	polyurethane	[88]
Pentachlorophenol	<i>Flavobacterium</i> spp	polyurethane	[193]
Pentachlorophenol	Mixed culture	anaerobic granules	[234]
Phenol	<i>Candida</i>	alginate	[73]
Phenol	<i>Pseudomonas</i> sp	alginate polyacrylamide-hydrazide	[18]
Phenol	<i>Fusarium flocciferum</i>	agar alginate κ -carrageenan polyurethane	[7]
Phenol	<i>Candida</i> sp <i>Pseudomonas</i> sp	activated carbon	[51]
Phenol	Methanogenic consortium	agar	[48]
Phenol	Mixed culture	alginate chitosan/alginate	[236]
Phenol	Mixed culture	cellulose triacetate	[235]
Phenol	<i>Fusarium flocciferum</i>	polyurethane	[6]
Phenol	<i>Pseudomonas putida</i> P8 and <i>Cryptococcus elinovii</i>	activated carbon	[137]
Phenol	<i>Pseudomonas putida</i> P8	activated carbon	[52]
Phenol(s)	<i>Pseudomonas putida</i> P8	polyacrylamide-hydrazide	[19]
Pyridine	<i>Pimelobacter</i> sp	alginate	[116]
Raw surfactants/industrial waste	<i>Pseudomonas</i> C12B	polyacrylamide	[201, 202]
Sodium cyanide	<i>Pseudomonas putida</i>	alginate	[12]
Sodium dodecyl sulfate	<i>Pseudomonas</i> C12B	polyacrylamide	[225]
Substituted phenols	Various degraders	granular activated carbon	[191]
<i>n</i> -Valeric acid	<i>Alcaligenes denitrificans</i>	alginate	[32]
Volatile fatty acids	<i>Alcaligenes denitrificans</i>	polyacrylamide	[31]

of cells into micro-beads made from polyurethane have been investigated for use in groundwater remediation, with the authors citing the small size and strength of the polyurethane important for success of *in situ* applications, although the fate of the beads after successful clean-up was not addressed [87,193]. Criteria for gel-beads to be used for soil applications are different from those required for controlled bioreactor systems. Although the long-term stability and small pore sizes afforded by synthetic polymers can be effective for use in bioreactors, they may not be desirable in environmental applications. For example, with polyacrylamide, careful handling is required due to prepolymer toxicity, and the stability of polyacrylamide beads in soil may not be environmentally acceptable [207]. As well, cell integrity and activity can be impaired during polymerization of the synthetic matrices [66], which in the case of polyacrylamide, generates heat and free radicals [35]. Despite this, a *Rhizobium* sp encapsulated in polyacrylamide infected legumes as effectively as free cells [46]. Polymer pore size may be a critical parameter in matrix selection for a particular process. The small pore size afforded by polyacrylamide encapsulation may be useful for applications in bioreactors because of the minimal leakage of proteins and cells from the matrix [200]. Larger pores are created when encapsulating cells with natural polymers, such as algal polysaccharides. This allows some cell leakage and bead degradation to occur within months [16,211]. Cell release and subsequent establishment in the soil matrix are advantageous in a soil application.

Natural polymers may provide improved bacterial survival after desiccation and during storage. For example, with an initial inoculum of log 9.0 CFU ml⁻¹ *Rhizobium* sp, Jung *et al* [95] recovered log 6.7 CFU ml⁻¹ from dried alginate beads compared to log 1.5 CFU ml⁻¹ from dried polyacrylamide beads after 150 days in storage at 28°C.

In general, non-toxic, natural polymers are recommended for use in soil. Encapsulation of cells in biodegradable gel matrices such as alginate or κ -carrageenan can be a safe and effective method for introducing bacteria into soil. Encapsulation processes are not stressful to cells, aseptic conditions minimize contamination, and the carriers are biodegradable and non-toxic. They also provide a slow release of microorganisms over time, while protecting cells until beads are degraded [16].

Characteristics of algal polysaccharides: alginate and κ -carrageenan

Alginate is produced by brown algae, principally *Macrocystis pyrifera*, but also by *Laminaria digitata*, *L. hyperborea* and *Eklonia cava*. Extracellular alginate is also produced by certain bacteria such as *Azotobacter vinelandii* and several pseudomonads [59,60]. Alginates are linear polymers of β (1,4)-D-mannuronic acid and α (1,4)-L-guluronic acid monomers. Different algae produce alginates that vary in monomer composition, arrangement and chain length. Therefore, the properties of alginates are variable. When exposed to Ca⁺² ions, a cross-linking network is formed by the bonding of Ca⁺² ions with polyguluronic portions of the polymer strands, a process known as ionic gelation. The best gel formers are those with high guluronic acid content because the guluronic acid units bind Ca⁺²

much more strongly than the mannuronic acid units. The gelation process is temperature-independent, thereby allowing a working temperature range of between 0 and 80°C. Typical alginate concentrations used in encapsulation vary between 1 and 8% (w/v) of sodium alginate in water. The carrier/cell suspension is extruded dropwise into a 0.05–2.0% CaCl₂ solution. Ionic bonding between interacting strands of polymers and Ca⁺² ions occurs immediately on contact with the solution, and the resulting polymer network has been depicted as an 'egg-crate' matrix, in which the cells are entrapped [71]. The traditional method of extrusion with a syringe equipped with a 21-gauge needle results in wet gel beads of about 2–3 mm in diameter. Lancy and Tuovinen [114] encapsulated *Thiobacillus ferrooxidans* cells in 1% (w/v) alginate, and calculated that with an average bead diameter of 3 mm, the average surface area was 28.3 mm², average volume was 14.1 μ l³, and the beads contained an average of 74% water. Beads, however, can be prepared in a broad range of particle sizes, typically 0.5–3.5 mm in diameter. Very small particles are also possible with special devices to obtain sizes of between 2 and 120 μ m [103,194]. Complete gelling of alginate beads without cells was reported to be less than 30 min [67]; gelling time increased with cell addition prior to gelation, but decreased with increasing CaCl₂ concentration up to 1 M, and with increasing temperature over the range of 0 to 50°C. After gelation, encapsulated cells can be utilized as is, or placed in a nutrient solution to encourage additional cell growth inside the gel-matrix prior to use. Alternatively, beads can be dried after either process and stored until use. The alginate encapsulation process is mild and generally suitable for many different types of microorganisms.

Carrageenan is produced by red algae, principally *Chondrus crispus*, *Euclima cottonii*, *Gigartina stellata* and *G. radula*. Guisely [71] suggested that carrageenan affords the greatest diversity with respect to molecular structure and range of properties compared to the other natural polymers. Three types of carrageenan are produced and labelled by the Greek suffixes ι , λ , and κ . All carrageenans feature a common backbone of alternating β (1,3)-D-galactose and α (1,4)-D-galactose. The carrageenans differ in the number and site of sulfonation on both sugars. For the carrageenans, gelation is primarily temperature-dependent, and further strengthening of the polymer network occurs in the presence of K⁺, or Al⁺³ ions as they interact with the sulfate residues on the polymer strands [71]. κ -Carrageenan, composed mainly of linear chains of alternating 3-O-substituted β -D-galactopyranose-4-sulfate and 4-O-substituted 3,6-anhydro- α -D-galactopyranose units, is often used in encapsulation processes because of its firmer gelling potential. Comparisons using different microorganisms and enzymatic reactions have demonstrated that gelation with K⁺ ions resulted in the best performance [104], and solutions of 0.1–0.3 M KCl are traditionally used. Typically, 2–5% (w/v) κ -carrageenan in physiological saline is warmed to 70–80°C and maintained at 42°C. The cell suspension is also warmed to 40–50°C, added to the κ -carrageenan solution and extruded dropwise into cold KCl solution for gel formation. The main disadvantage of the carrageenan encapsulation system is that heating cells may

cause irreversible inactivation of some enzymes [103]. Despite this, many different types of microbial cells have been successfully encapsulated in this polymer and used for various applications in bioreactors.

Addition of amendments to formulations

Both alginate and κ -carrageenan carriers can be used either in their pure forms or with additional amendments which may enhance effectiveness. Amendments such as locust bean gum [8,10], or carob bean gum [28] have been incorporated for improved bead strength and stability in bioreactor systems. This level of stability is not required for soil applications, so different amendments have been investigated to provide a protective and/or nutrient source. Clay [61,95] and skim milk powder [99], or a combination of the two [29,119,206,211] are effective when used with alginate and κ -carrageenan carriers.

Clay

Addition of clay to carrier formulations can increase cell survival and act as a bulking agent providing additional mechanical strength. The effects of clays on bacterial survival in soil have been reviewed by England *et al* [55] and Stotzky [195]. In laboratory studies, certain clays offer advantages to bacterial survival in soil, but not all clays provide the same effect. For example, addition of montmorillonite clay to a sandy soil protected *Rhizobium trifolii* cells from heat at 70°C, while kaolinite did not [127]. This was interpreted as formation of a protective clay envelope around cells which modified rates of water flow into and out of the cells during drying and rewetting [126,128]. Bentonite clay by itself increases survival of rhizobia cells introduced into soil [78,79]. Clays can influence microbial events by modifying the physicochemical characteristics of microbial habitats, attenuating growth and metabolism of the microbial populations [195]. Clay-adsorbed cell systems have shown increased oxygen consumption by factors of 1.4 to 6.7 over free cells [124]. This indicates increased biological activity.

Studies in which clay has been incorporated in beads have demonstrated that survival results are not the same for all types of clay, or for all microorganisms interacting with various clays. For example, Jung *et al* [95] compared survival of two *Rhizobium japonicum* strains encapsulated in clay-amended alginate beads which had been dried and stored for 100 days. Cell numbers of *R. japonicum* strain 135 remained unchanged for 100 days when the alginate-*Rhizobium* slurry was amended with 5% kaolinite. However, this pattern was not observed for *R. japonicum* strain 138 whose numbers dropped from log 9.3 CFU ml⁻¹ to log 1.2 ml⁻¹ after 100 days storage. These results were similar to those of Bushby and Marshall [26] who reported that montmorillonite clay protected some bacterial strains (such as *Rhizobium leguminosarum* and *R. trifolii*) but not others (such as *R. lupini* and *R. japonicum*) from desiccation. Therefore, the best combination of clay type and microorganism needs to be determined, and such a combination may provide additional benefits for microbial persistence. For example, *Pseudomonas fluorescens* R2f cells encapsulated in alginate beads amended with 3% (w/v) bentonite clay resulted in significantly higher cell survival (log 7

CFU g⁻¹ dry soil) compared with free cells (log 3.5 CFU g⁻¹ dry soil) or cells encapsulated in alginate alone (log 6 CFU g⁻¹ dry soil) after 84 days in an agricultural soil from an initial level of log 8 CFU g⁻¹ dry soil [211].

The ability to use existing mechanical equipment for inoculum handling and application is an attractive feature of these carriers. Formulations containing clay were judged to be easier to process, better suited for field applications, and more commercially feasible than those without clay [61,219]. Entrapping ectomycorrhizal mycelium in alginate and adding clay material to the gel produced a suitable inoculum for use in forestry compared with a vermiculite-peat mixture or mycelia alone [118]. The inoculum was tested for growth and mycorrhizal development of Douglasfir and Norway spruce seedlings in a nursery. The authors observed significant increases in growth of both species over 6 months using alginate/clay-entrapped inoculum compared to peat or vermiculite with inoculum.

One potential problem with adding clay to the encapsulation formulation is the provision of charged surfaces which can bind heavy metals. Stotzky [195] suggested that clays may bind some heavy metal ions, reducing their bioavailability and potential toxicity in soil. However, clay-amended beads may concentrate metals to concentrations that are lethal to cells encapsulated within. Toxic metals may exchange with divalent cation cross-linkers in the alginate matrix [62], or metals may bind to beads due to the high cation exchange capacity (CEC) of clays. Wessolek and Fahrenhorst [222] mixed a modified alumina-silicate (Bergelite) into a soil contaminated with Zn and Cd to test metal binding to this clay-like substance. Their simulation model predicted immobilization of Zn and Cd to the Bergelite in the solid phase and also predicted this immobilization could last for 80 years. Although these predictions resulted from a simulation and heavy metal content varies for individual soils, this is an area that requires further research.

Skim milk as an amendment

Addition of skim milk to bead formulations provides a nutrient source which may increase activity, growth and/or survival. For example, increased persistence of bacteria was observed with skim milk-amended and alginate-encapsulated bacteria after they were dried [11,57]. This subject is further discussed in a review by Lievens and van't Riet [120]. Extrapolating from these results, the addition of skim milk may provide increased survival of encapsulated cells during drying and wetting cycles which occur in soil.

Pseudomonas fluorescens R2f cells encapsulated in alginate beads amended with skim milk showed improved survival in soil compared to both free cells and cells encapsulated in alginate beads only [211]. Sometimes, combined amendments in beads can result in further increases in bacterial survival. For example, Van Elsas *et al* [211] reported that a log 8 CFU g⁻¹ dry soil *P. fluorescens* R2f cells encapsulated in alginate amended with 3% (w/v) skim milk powder and 3% (w/v) bentonite clay maintained cell numbers at log 8 CFU g⁻¹ dry soil in soil columns over 84 days. In the same study, the number of cells in unamended alginate dropped to log 6 CFU g⁻¹ dry soil, cells encapsulated in alginate with clay only dropped to log 6.5 CFU g⁻¹ dry soil

and cells encapsulated in alginate amended with skim milk dropped to log 7 CFU g⁻¹ dry soil. Hekman *et al* [83] reported similar findings using an initial inoculum of log 7.2 CFU g⁻¹ dry soil *P. fluorescens* R2f cells in soil columns. Cell numbers in CFU g⁻¹ dry soil after 45 days were: unamended alginate, log 7.34; 3% (w/v) skim milk-amended alginate, log 7.82; 3% (w/v) bentonite clay-amended alginate, log 6.33; and 3% (w/v) skim milk and 3% (w/v) bentonite clay-amended alginate, log 8.72.

Use of alginate and κ-carrageenan as carriers

Alginate has been more widely used and studied than κ-carrageenan due to the mild conditions (eg ambient temperature) of the encapsulation process [187]. In particular, alginate has been used extensively in bioreactors (Table 1). Studies in soil demonstrated increased survival of *P. fluorescens* R2f cells encapsulated in alginate compared with free cells [206,211].

The elevated temperatures (35–55°C) necessary to maintain the appropriate viscosity of κ-carrageenan during cell encapsulation may be stressful to some microbial species and some enzymes may be inactivated. Special commercial types of κ-carrageenan eg Genugel X-8086 and X-0909 (Copenhagen Pectin, Denmark) remain viscous at 20°C, but K⁺, Ca²⁺ and NH₄⁺ ions have to be excluded from the solution, and cell loadings higher than 20% (g wet cells per 100 g wet catalyst) are difficult to obtain. Because of these limitations, temperatures above 35°C are recommended for encapsulating cells even in these specialized κ-carrageenan formulations [105]. A number of studies have demonstrated the effectiveness of κ-carrageenan encapsulation of microorganisms for use in bioreactors [203,218,227, Table 1], but few studies have investigated its use with microorganisms targeted for soil applications.

κ-Carrageenan is usually less expensive than alginate which can substantially reduce costs in a large-scale environmental application. Little information is available on altering encapsulation conditions to enable use of standard κ-carrageenan at temperatures below 30°C. Research in our laboratory to overcome this problem indicated that a formulation of 1% (w/v) κ-carrageenan amended with clay and skim milk allows encapsulation to occur at 30°C or lower [29]. Alginate and κ-carrageenan amended with 5% (w/v) clay and 1% (w/v) skim milk were used as encapsulation materials for *Pseudomonas aeruginosa* UG2Lr cells. After drying the κ-carrageenan beads, and storing them at 4°C in the dark, survival in κ-carrageenan was significantly better than in alginate in the first 3 months, and similar to but not significantly different over a year.

κ-Carrageenan may be a useful encapsulation carrier for bioremediation purposes in soil for reasons other than potential cost reduction. Dry κ-carrageenan beads swell to about 25 times their volume when placed in an aqueous solution and the polymer network is still chemically stable [106]. However, dry alginate beads do not swell in an aqueous medium. This may physically limit potential cell growth inside the beads, and may cause extra stress and physical pressures as the cells multiply. For example, Stewart and Robertson [192] studied *Escherichia coli* cells encapsulated in alginate and observed that when cells were physically stressed, the porosity of the bead decreased, cell

shape was distorted, cell size was reduced and dewatering of encapsulated cells was possible due to the compression generated by cell growth. The porosity of alginate beads may also be significantly reduced by drying the beads partially. If beads are composed of an alginate high in gulonic acid, they will re-swell only slightly in water with reduced average pore size [187]. Only when alginate beads come in contact with a calcium sequestrant such as phosphate will they weaken or dissolve [71]. Even though the stability of κ-carrageenan beads is temperature dependent, Nguyen and Luong [149] suggested that bead pore size is unlikely to be affected between 10 and 25°C since the diffusivity of glucose remains unchanged in this temperature range. Further research will help to establish the value of using κ-carrageenan as an encapsulation medium for microbial cells intended for environmental applications.

Advantages of using encapsulated bacterial cells for soil applications

The use of encapsulated cells for soil applications provides a number of advantages over free cells or other carriers such as peat, vermiculite or charcoal. These are outlined in Table 2 and discussed further below.

Formulations must be carefully designed to maintain viability and activity during extended storage and distribution. Immobilization under aseptic conditions allows entrapment of only the cells of choice. Cells adsorbed onto activated carbon and kept at 4°C kept their degradation capacity for up to 12 months [137]. Dried beads can be stored for up to 3 years at 4°C [29,141], and the bead structure provides protection against contamination during storage, transport and application [95]. Alginate carrier formulations prolonged storage life and provided protection from mechanical abrasion during transport and application [11]. Existing mechanical equipment can be used for the application of inocula, and since no bioaerosol formation

Table 2 Advantages and limitations of using encapsulation for soil applications

Advantages

- 1) Reduced possibility of inoculum contamination during storage, transport and application
- 2) Reduced possibility of off-site drift during application
- 3) Beads are non-toxic, biodegradable and non-polluting
- 4) Can be produced in large quantities, stored for extended periods as dried beads and used with existing mechanical application equipment
- 5) Provides protection from biotic and abiotic environmental stresses leading to increased microbial survival
- 6) Increased metabolic activity of encapsulated cells
- 7) Slow cell release with reduced cell movement through soil from water flow-induced transport
- 8) Increased plasmid stability

Limitations

- 1) Gas and solute diffusion may be restricted
- 2) Reduced oxygen consumption rates of encapsulated cells may occur
- 3) Cell morphological or metabolic alterations may have a detrimental effect
- 4) Effects of changes in water activity may limit effectiveness
- 5) Cells may not establish colonies outside of beads. Repeat applications of beads may be required.

occurs, the possibility of drift off-site is minimized. The importance of bacterial containment, detection and survival in the environment was reviewed by Jackman *et al* [93]. These areas are of prime concern, particularly when considering the use of genetically-engineered microorganisms (GEMs). Accidental spills of encapsulated cells in dried beads can likely be contained and cleaned up more easily than a liquid cell-suspension spill.

Potential for commercial production of large bead quantities

For a large scale application of encapsulated microorganisms to a contaminated soil site, large quantities of uniform carrier must be prepared. Research has been conducted on large scale production of beads necessary for environmental applications. A number of methods have been explored to scale-up bead production. For example, a resonance nozzle technique allowed production capacity of uniform alginate beads to increase by two orders of magnitude to 24 L h⁻¹ over the conventional procedure while maintaining viability of encapsulated yeast (*Saccharomyces cerevisiae*) and plant (*Haplopappus gracilis*) cells [90]. A production rate of 1.05 dm³ h⁻¹ of alginate micro-beads (500- μ m diameter) has been achieved using a rotating disk atomizer which disperses the aqueous alginate solution in air [157]. Stormo and Crawford [194] used a low-pressure ultrasonic nozzle apparatus to produce consistent beads of very small sizes quickly. The beads ranged from 2 to 50 μ m in diameter. A maximum production rate of 27.6 dm³ h⁻¹ of uniform (2-mm diameter) κ -carrageenan beads was obtained by breaking up a capillary jet of κ -carrageenan solution with sinusoidal vibrations [91]. Poncelet *et al* [167] designed a parallel plate electrostatic droplet generator to produce consistent microbeads from 480 μ m to 750 μ m in diameter depending on needle size. They observed that there was no detectable effect of the applied potential on viability of *Spodoptera frugiperda*.

Any of these techniques allow large-scale production of encapsulated cells, and the variety of techniques also allow alternatives for particular applications.

Protection of encapsulated microbial cells

The protective features of the carriers are the basis for their potential value for applications in soil. Results of inoculating free cells into agricultural soils have been variable, with both successes and failures being described. Generally, a slow decline of introduced bacteria has been observed [208]. Encapsulation provides a microenvironment for the cells different from the macroenvironment, and this may minimize some of the detrimental effects. The improvement in survival of encapsulated cells over free cells in soil that has been observed is a good indication of the potential value of encapsulated cells for environmental applications. For example, Van Elsas *et al* [211] reported that free *P. fluorescens* R2f cells decreased from log 7.3 to log 3.6 CFU g⁻¹ dry soil in a loamy sand over 84 days. Cells encapsulated in alginate with or without adjuvants either maintained initial cell levels, or decreased by only one log unit. Trevors *et al* [206] observed similar results using the same microorganism and the same soil. They reported that free cells decreased from log 7.8 to log 4.1 CFU g⁻¹ dry soil

after 63 days, whereas alginate-encapsulated cells (with or without adjuvants) maintained a level of log 8 CFU g⁻¹ dry soil throughout the experiment. Using the same microorganism and soil, Hekman *et al* [83] observed no significant reduction over 45 days in the number of encapsulated cells whereas that of free cells decreased significantly from log 7.16 to log 5.33 CFU g⁻¹ dry soil. Leung *et al* [119] reported that κ -carrageenan encapsulated *Pseudomonas aeruginosa* UG2Lr cell numbers increased 190-fold to log 8.78 CFU g⁻¹ dry soil after 1 week in a forest soil and remained at that level for 3 weeks. Free cells declined steadily from log 9 to log 4.94 CFU g⁻¹ dry soil. Weir *et al* [220] reported an increase of alginate/clay/skim milk-encapsulated *P. aeruginosa* UG2Lr cells in a sandy loam from log 6.85 to log 7.7 CFU g⁻¹ dry soil after 98 days whereas free cells declined from log 9 to log 4 CFU g⁻¹ dry soil. The increase in bacterial survival observed may be the result of protection of the cells from environmental abiotic stress, predation and/or toxicity, and will be discussed in the next three sections.

Protection of encapsulated microbial cells from environmental stress

Encapsulation may improve cell survival and provide a means for establishment of cells introduced into a site [206,211] by protecting cells from various environmental stresses. The bead can be viewed as a natural soil aggregate containing microbial microcolonies both on its surface and in its interior. It has been suggested that free cells may lose their viability more readily than those sorbed to soil particles [77]. The physical soil environment is heterogeneous and changing environmental conditions can result in various alterations of the soil. Encapsulation provides not only protection, but a more stable microenvironment for the entrapped microbial cells. For example, Trevors *et al* [206] demonstrated that a population of *P. fluorescens* R2f cells encapsulated in alginate remained stable after one drying/rewetting cycle in soil, whereas free cells were reduced by about log 2 CFU g⁻¹ dry soil. Subsequent soil drying/rewetting cycles did not result in as large a difference. Kearney *et al* [99] suggested that protection during dehydration and bead rehydration may occur because the diffusional properties and limited volume of beads control the rate and volume of fluids entering and exiting beads. Less chance of osmotic shock is possible because an instantaneous removal or influx of water does not occur. The addition of skim milk or clay as an amendment to beads may provide further protection during drying and rewetting cycles [77,206].

The protective effect of encapsulation during freeze/thaw cycles was demonstrated in our research using a new κ -carrageenan formulation. Survival of free and κ -carrageenan-encapsulated *P. aeruginosa* UG2Lr cells in an acidic forest soil was monitored for 3 weeks, after which time the soil was frozen and thawed three times in succession. Survival of encapsulated UG2Lr cells was significantly higher by four orders of magnitude than free cells in both sterile and non-sterile soil, demonstrating that encapsulation protected cells from freezing and thawing cycles [119].

Encapsulation appears to minimize the effects of some

environmental parameters, resulting in a more stable environment. For example, alginate-encapsulated *Gluconobacter oxydans* cells maintained consistent oxidative activity with variations in pH and temperature during oxidation of glycerol to dihydroxyacetone while decreases were observed with free cells [4]. Buzas *et al* [27] reported that fermentation capacity of *Saccharomyces cerevisiae* cells was dependent on pH, with an optimal value of pH 4, whereas the fermentation capacity of alginate-encapsulated cells was independent of H⁺ concentration between pH 2.5 and 6.2. Soil may contain small pores with variable pH. We hypothesize that beads and amendments such as skim milk powder may buffer against changes in pH, making conditions more stable for survival and activity of cells in beads in the soil environment.

Protection from predation

Predation of soil bacteria by protozoans has been cited as a factor for a decrease in cell numbers after their introduction into soil [42,72]. Effects of protozoans on bacterial survival in soil has been reviewed by England *et al* [55] who concluded that protozoa play an important role in determining microbial survival and activity. There is little evidence to demonstrate the protection from predation afforded by encapsulation of microbial cells. However, if we examine the similarity between a soil aggregate and a biopolymer bead, there may be some credence to the idea of protection from predation. Vargas and Hattori [216] observed that *Aerobacter aerogenes* cell numbers decreased in the presence of *Colopoda* sp in the outer 1–2 mm of a soil aggregate, whereas no reduction in cell number was found in the inner zone after the same period of exposure. England *et al* [55] suggested that spatial location within aggregates influenced susceptibility of bacterial cells to predation. It was suggested that physical restrictions do not allow predator access. For example, protective microhabitats with pore sizes less than 6 μm significantly reduced predation, as demonstrated by addition of bentonite clay, which effectively reduced soil pore sizes [80]. Pore sizes in this range do not allow grazing by protozoan and other predators due to size restrictions. It has also been suggested that in soil aggregates, pores 2–6 μm in diameter may be the most favourable microhabitats of bacteria [77], and capillary water is also held in pores of such sizes. Encapsulation may provide a microhabitat to protect cells in a similar manner. The matrices created by alginate and κ -carrageenan encapsulation are in this size range, and electron micrographs of the interior of κ -carrageenan beads indicate the pore size to be about 4–5 μm in diameter (Figure 2). Similarly, pore sizes ranging from 0.1 to 5 μm have been observed with alginate beads [30,110,144,215]. However, Danso *et al* [42] suggested that protection from protozoan predation may not be the result of microhabitats because they had observed similar bacterial survival in sterile pond water as in soil.

Protection of alginate-encapsulated *P. fluorescens* R2f cells from the bacteriophage Φ R2f in soil resulted in increased survival compared to free cells, although cells released from beads were still susceptible to phage attack [188]. It should be noted that in a contaminated soil, proto-

zoans and other predators may be limited in numbers due to pollutant toxicity.

Protection from toxicity

Persistence and activity of microorganisms added to a contaminated soil may be adversely affected by the presence of toxic compounds. Providenti *et al* [169] reviewed factors which can limit microbial degradation of pollutants in natural environments. Effects of various environmental factors, limited availability of contaminants, possible metabolic limitations, and the susceptibility of microorganisms to toxins or predators were discussed. Limitations may occur if the microorganisms are unable to move through contaminated soil sites [68]. Immobilization of microbial cells has been observed to confer protection from toxicity of compounds exterior to the immobilized cells, and phenol has been used for the majority of these studies. Bettmann and Rehm [18] investigated degradation of the highly toxic phenol by free and alginate-encapsulated *Pseudomonas* sp cells. Encapsulated cells degraded phenol up to a concentration of 3 g L⁻¹, which was double the maximum concentration degraded by free cells. The authors postulated two mechanisms for their observations: (a) that cell growth into microcolonies inside the beads may protect the cells, or (b) the colonies around the outside edge of the beads form a phenol diffusion barrier to protect cells inside the bead.

Dwyer *et al* [48] studied anaerobic phenol degradation by a methanogenic consortium encapsulated in agar and observed protection against phenol at concentrations which inhibited methane production by free cells. At a phenol concentration of 0.5 mg ml⁻¹, both free and encapsulated cells produced about 0.5 mmol CH₄. However, at 2.0 mg phenol ml⁻¹, activity of free cells was almost completely inhibited and less than 0.05 mmol CH₄ was produced, whereas cells immobilized in agar produced 0.25 mmol of CH₄. Zache and Rehm [236] also observed a protective effect from phenol toxicity by encapsulation of a defined mixed culture of *Pseudomonas putida* P8 and *Cryptococcus elinovii* H1 cells in alginate. Encapsulated cells completely degraded phenol at 3.5 mg ml⁻¹, which was more than twice the maximum phenol concentration degraded by free cells.

Keweloh *et al* [100] supported the view of Bettmann and Rehm that allowing growth of microcolonies inside the bead resulted in protection from phenol toxicity. *Pseudomonas putida* (a phenol-degrading bacterium), *Escherichia coli* and *Staphylococcus aureus* cells were tested for their tolerance to phenol both as free cells, and when encapsulated in alginate beads. Cells were first grown to mid-log phase in a minimal media with 2 g L⁻¹ glucose. Phenol was then added, and growth measured. At 2 mg phenol ml⁻¹, only the immobilized cells were able to grow. The authors concluded that the number of generations of immobilized cells and the size of microcolonies determined the phenol tolerance level. Studies on cell growth within beads have shown that microcolonies proliferate inside the matrix [36,165,192,217,231]. By testing bacteria that were unable to degrade phenol, Keweloh *et al* [100] concluded that increased tolerance to phenol is a characteristic of immobilization and not specific for phenol-degrading bacteria. The authors also observed an envelope surrounding the microcolonies formed by encapsulated *E. coli*. The envelope was

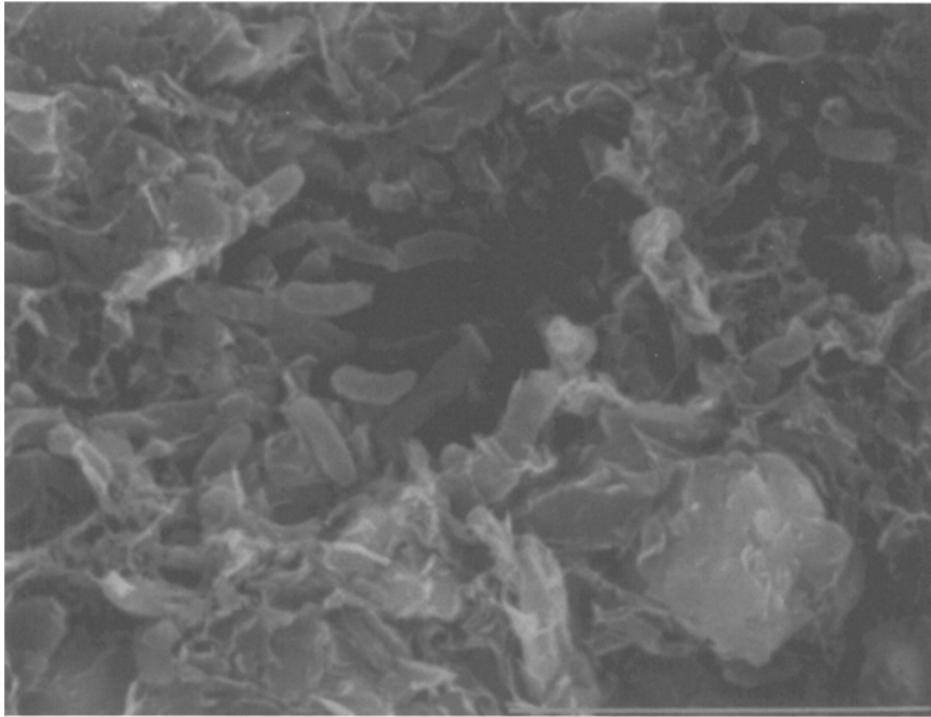


Figure 2 *Pseudomonas aeruginosa* UG2Lr cells encapsulated in κ -carrageenan (bar = 0.5 μm).

not present with free cells. This lent evidence to the view that morphology of cells in colonies can be markedly different from non-encapsulated cells. They suggested this envelope may bind toxic agents, or inhibit diffusion of the toxic agent into the colony. Subsequent work by Keweloh *et al* [101] suggested that phenol tolerance may result from alterations in the cell's membrane. Higher protein-to-lipid ratios in membranes of immobilized cells were observed compared to free cells, with a different protein pattern in the outer membrane.

Diefenbach *et al* [45] treated commercial alginate with chloroform or ethanol to remove fatty acid impurities, and compared phenol tolerance of *E. coli* cells encapsulated in raw commercial alginate with cells encapsulated into the treated alginate. They observed decreased tolerance of the cells encapsulated in the treated alginate to phenol; and a change occurred in the fatty acid profile of the *E. coli* plasma membrane, with increased palmitic acid content and the appearance of oleic acid, which cannot be synthesized by *E. coli*. Gas chromatographic analysis of the chloroform-extracted alginate demonstrated that the palmitic and oleic acid fatty acid impurities were in agreement with the alteration observed in the plasma membrane composition of the encapsulated cells. The authors suggested that these impurities in the alginate were incorporated into membranes of immobilized cells. A strengthened membrane would result since incorporation of the saturated fatty acids found would have a stiffening effect on the cell membrane, providing protection against the effects of phenol [45].

Immobilization appears to protect cells from levels of compounds other than phenols, which normally result in toxic or inhibitory effects on free cells. For example, *Saccharomyces bayanus* cells encapsulated in κ -carrageenan were protected from toxic effects of oleic acid used as a

solvent for extractive fermentation of ethanol, where small organic acids can inhibit cell growth in these systems [15]. Protection of *Alcaligenes* sp A 7-2 against normally toxic levels of 4-chlorophenol was attributed to encapsulation in alginate [223]. Protection of *Saccharomyces cerevisiae* cells from twice the normally toxic level of alcohol has also been observed with cells immobilized to beet chips [41] or encapsulated in alginate, κ -carrageenan or polyacrylamide matrices [85,150]. Toxicity reduction may also be due to adsorption of the toxic compound to the carrier, reducing overall toxicity levels. Mörsen and Rehm [137] suggested this may be the mechanism in the degradation of up to 17 g L⁻¹ phenol by *Pseudomonas putida* P8 cells adsorbed onto activated carbon. Hu *et al* [88] also suggested this mechanism in the degradation of up to 700 ppm pentachlorophenol (PCP) using *Flavobacterium* sp cells encapsulated in polyurethane beads, but this was not observed for alginate-encapsulated cells which only degraded up to 250 ppm PCP.

This protective effect allows degradation of concentrations of substrates which would be lethal to free cells as observed with the PCP example above, and with immobilized *Pseudomonas* sp in an activated sludge reactor which exhibited a threshold for acute toxicity of between 2100 and 2500 mg L⁻¹, where the lethal dose for *Escherichia coli* has been reported as 100 mg L⁻¹ [82]. Encapsulated cells retained activity and effectiveness at high substrate concentrations which would inhibit free cells, for example 10000 ppm surfactant [202], and ten times the phenol concentration toxic to free cells [51]. The use of encapsulated cells would extend the range of contaminated sites when using microbial inocula as a remediation strategy, and increase the potential effectiveness of this strategy compared with the use of free cells.

Increased metabolic activity of encapsulated cells

Beads provide not only a protective environment, but also an increased surface area for cell attachment. Surface attachment of cells improves the activity, growth and survival rates of microorganisms [64]. Factors which may affect cell activity on surfaces include: the concentration of substrate and ions; change in pH and/or Eh; change in inhibitor concentrations; and the release of active metabolites or ions from cells [77]. In a review on the influence of interfaces on microbial activity, van Loosdrecht *et al* [214] indicated that there was no conclusive evidence for a direct influence of adhesion on cellular metabolism. They suggest that effect(s) observed can be attributed to indirect mechanisms, such as modification of the surrounding area.

There are demonstrated increases of metabolic activity of encapsulated cells compared to free cells. For example, alginate-encapsulated *S. cerevisiae* cells produced 80% more ethanol compared to free cells [65] and gelatin-immobilized *S. cerevisiae* cells produced 40–50% more ethanol than free cells [47]. Bailliez *et al* [13] reported increases of 20–25% in hydrocarbon production from alginate-encapsulated *Botryococcus braunii* cells compared with free cells. Barros *et al* [15] observed that κ -carrageenan-encapsulated *Saccharomyces bayanus* completely fermented a solution of 300 g L⁻¹ of glucose although free cells cannot convert more than 200 g L⁻¹. Alginate-encapsulated *Methanosarcina barkeri* cells maintained the ability to convert methanol to methane for a longer period of time than free cells [178]. Pertot *et al* [164] reported that alkaloid production was seven times higher for alginate-encapsulated *Claviceps paspali* than free cells and suggested that immobilization shifts from growth towards secondary metabolism. A 1.4- to 6.7-fold increase in specific oxygen consumption rate was observed in *S. cerevisiae* cells adsorbed to clay supports compared to free cells [124]. Gadkari [64] used a mixed nitrifying population to study the effect of alginate and encapsulation on the rate of nitrification. He noted that when sterile alginate beads were added to the medium with the free cells, the ammonium oxidation was completed in 5–7 days, half the time necessary for free cells to complete the process. In this treatment, there was a lag of 1 or 2 days for ammonium oxidation to begin. When alginate-encapsulated cells were used, no lag period was observed and ammonium oxidation was completed in half the time of the control cells. These results indicate that higher efficiencies are observed when cells are attached to, or are sheltered by a solid particle.

In another study, Smith *et al* [189] used ethene-grown *Mycobacterium* sp E3 which was capable of transforming propene to 1,2-epoxypropane. Using alginate-encapsulated cells with beads less than 1 mm in diameter, a system free from diffusional limitations was established. They observed a 1.5-fold increase in 1,2-epoxypropane production by encapsulated cells compared to free cells. When alginate or calcium was added to free cell suspensions in amounts similar to those found in encapsulated cells, a 1.2- to 2-fold increase in 1,2-epoxypropane production was observed. These results led the authors to conclude that the presence of alginate and calcium, rather than the encapsulation matrix, was responsible for the increase in 1,2-epoxypropane production.

The increased metabolic activity observed with immobilized cells occur in many of the systems studied. While the precise mechanism(s) responsible for this effect is not known, immobilized cells may provide a method to increase or maximize bioremediation activity in the environment.

Release and transport of cells in soil

Persistence and stability of the bead have to be maintained to allow establishment of introduced microorganisms. Therefore, photostability, rainfastness and rate of degradation of beads in the soil must be addressed in formulations [173]. There is little information on the release of cells from beads in soil, particularly in chemically contaminated soils. It is unknown if cells released from beads will perform their intended task(s) in a small proportion of the total soil volume. They may end up becoming microsites of high microbial numbers and activity, surrounded by areas of lower activity, unless cells are dispersed by water or beads are applied at a high density per unit soil [207]. Ideally the beads should protect cells, release them slowly over a period of time and allow establishment of cells in as large an area as possible from the beads. The variety of algal polysaccharides available, and alterations possible in the encapsulation process allow some flexibility with which to produce beads that release active cells [16,133].

It is well known that cells are released from beads, and considerable research has been undertaken to minimize this phenomenon for bioreactor applications. For example, actively-growing entrapped *E. coli* cells can exert high pressure (3 atm) on the bead surface, allowing them to disrupt the surface and be released [192]. Lancy and Tuovinen [114] measured the protein content of alginate beads containing *Thiobacillus ferrooxidans* cells and monitored column effluents for cell release in a bioreactor. They observed an increase from 30 to 50 μg protein per bead and cell numbers in the effluent increased from non-detectable levels to 1.2×10^5 cells ml⁻¹ over a 14-week period. This led them to conclude that bacteria had multiplied in the beads and were being released from them. It has been suggested by others that cells are released after the matrix space in the beads has been occupied [74], and that cell division, not loss of initially entrapped cells is the major cause of cell leakage [35]. Bashan [16] observed that *Azospirillum brasilense* cells were released at densities of 10^5 – 10^6 CFU g⁻¹ beads per 24 h, and the beads amended with skim milk were degraded almost completely over 4 weeks. However, using alginate alone, only slight degradation on bead edges was observed after 4 weeks. Van Elsas *et al* [211] did not detect any beads with or without amendments after 30 days in a loamy sand.

Once released from the beads in a contaminated soil, establishment of microbial colonies outside the bead will increase the soil area for potential biodegradation. Free microorganisms can be transported through soil and a number of examples, along with factors affecting this movement, were reviewed by Abu-Ashour *et al* [1]. Studies on the release and transport of cells from beads in soil have shown that 15% of *P. fluorescens* R2f cells encapsulated in bentonite/skim milk-amended alginate beads were able to be transported from the beads [83] and 11.5% colonized

plant roots over a distance of 1 cm in soil [206]. Hekman *et al* [83] studied water-mediated transport of free and encapsulated cells in soil microcosms and observed reduced transport of encapsulated cells compared with free cells. The results suggested that encapsulated cells may be highly localized in the soil, thereby reducing the chances of contacting flowing water. This may keep introduced cells in the contaminated surface areas and prevent them from moving into deeper soil levels or groundwater. Some researchers indicate that immobilized cells may be useful for treatment of contaminated surface soil if cells are released from the encapsulation matrix to inoculate the soil [210, 218]. Further study of cell release and transport will be necessary to determine the practicality and effectiveness of using encapsulated cells in environmental applications.

Plasmid stability

Many genes encoding degradative enzymes are carried on plasmids. Therefore, increased plasmid stability would be an advantage when using microorganisms for *in situ* soil bioremediation. Plasmid stability in immobilized genetically-engineered cells has been reviewed recently [111]. Increased bacterial plasmid stability has been observed with encapsulated recombinant cells. For example, two groups of researchers have consistently observed increased plasmid stability in *E. coli* cells containing the pTG201 plasmid encapsulated in κ -carrageenan. The plasmid pTG201 is an Ap^RTc^S *E. coli* cloning vector derivative of pBR322 carrying the *xy/E* gene from *Pseudomonas putida* under transcriptional control of the λP_R and cI857 repressor. Nasri *et al* [145] used three different *E. coli* hosts and observed an increase in stability of the pTG201 plasmid in encapsulated cells compared to free cells in free continuous culture in the absence of antibiotic selection. They concluded that increased stability effects were not due to plasmid transfer between the immobilized cells or due to an increase in immobilized cell plasmid copy numbers. Nasri *et al* [146] reported no plasmid-free segregants in κ -carrageenan-encapsulated *E. coli* harbouring pTG201 after 240 generations of operation in continuous culture with no antibiotic selective pressure. High stability of plasmid pTG201 and pTG206 were also observed for at least 200 generations of *E. coli* cells encapsulated in κ -carrageenan and grown in LB broth supplemented with 0.1 M KCl [17]. Sayadi *et al* [177] observed high plasmid stability with the same cells and encapsulation procedure over 140 generations at temperatures of 31, 34 or 37°C with no selection pressure. For example, after 140 generations at 37°C, immobilized cells contained 88% of plasmid-bearing cells whereas free cells contained approximately 40% plasmid-bearing cells. The precise mechanism(s) that confer plasmid stability in encapsulated cells is not understood. However, the absence of competition between P⁺ and P⁻ cells within the matrix or a significant reduction of the rate of elimination of less fit organisms by modifying selective wash-out pressure in bioreactors has been postulated [111]. Influences of oxygen supply have been investigated and in all cases plasmid stability of κ -carrageenan-encapsulated cells was higher when compared with free cells and was highest at the 100% oxygen-saturation level [86,89,125]. Plasmid stability is a feature which can influence the effectiveness of microbial

bioremediation of contaminated soils, and the potential use of genetically-modified microorganisms for this purpose. Encapsulation may provide a method to maintain plasmid stability and increase the chance of success for bioremediation.

Limitations

Although there are numerous advantages in applying microbial encapsulation technology for soil applications, there are also limitations. These are listed in Table 2 and discussed further in this review.

Diffusion of substrates in immobilized cells

The matrix created by encapsulation may restrict diffusion of gases and solutes into beads, particularly into the central interior area (Figure 3). The thin liquid film which can surround the bead in soil may play a role in restricting gas diffusion [158]. Oxygen is the major substrate which appears to be limited [71]. The internal structure of the beads is not homogeneous, and may be affected by many factors such as time of gelation, carrier concentration and type, concentration and pH of the ionotropic cation solution, viscosity, internal particles and temperature [147]. Lack of homogeneity in beads will affect results of diffusion studies and must be kept in mind when attempting to interpret and extrapolate results [71]. Variations in the methods, diffusants, and gel concentrations used by different researchers [71] makes direct comparisons difficult, and have produced conflicting results in mass transfer studies [181].

For example, diffusion rates of solutes such as glucose in gels have been reported to be lower than in water [142, 149], attributed to a reduction in the available volume by the polymer network, and an obstructing effect on the solute. However, others found no difference in diffusion rates [63,200], even when a variety of substrates were tested [202]. It is also noteworthy that the gel-matrix is negatively charged, and the influence of electrostatic forces on ionic compounds should be considered [129,200], but little information is available in this area.

Substrate diffusion is thought to be further decreased in three ways: by increasing cell density within beads, by increasing carrier concentration, and by increasing gel particle size [158,159]. The majority of cells that produce microcolonies initially grow homogeneously in the bead, but ultimately result in growth close to the bead's outer surface [54,92,230], which may be a factor in limiting substrate diffusion. However, Gosmann and Rehm [69] suggested it was not possible to generalize about the oxygen supply of microorganisms in alginate because the supply was dependent on the physiological status of the entrapped cells. The authors suggested that beads which had biomass concentrated at the gel surface had the highest effectiveness factor of oxygen uptake and therefore would be best suited to aerobic processes.

The difficulty in establishing a particular effect is that evidence exists to support substrate diffusional decrease with the addition of microorganisms [3,17,63,70,197], whereas other researchers have seen no effect on the diffusion rate [35,65,76]. Wijffels *et al* [228] investigated the effect of initial biomass concentration on the growth of

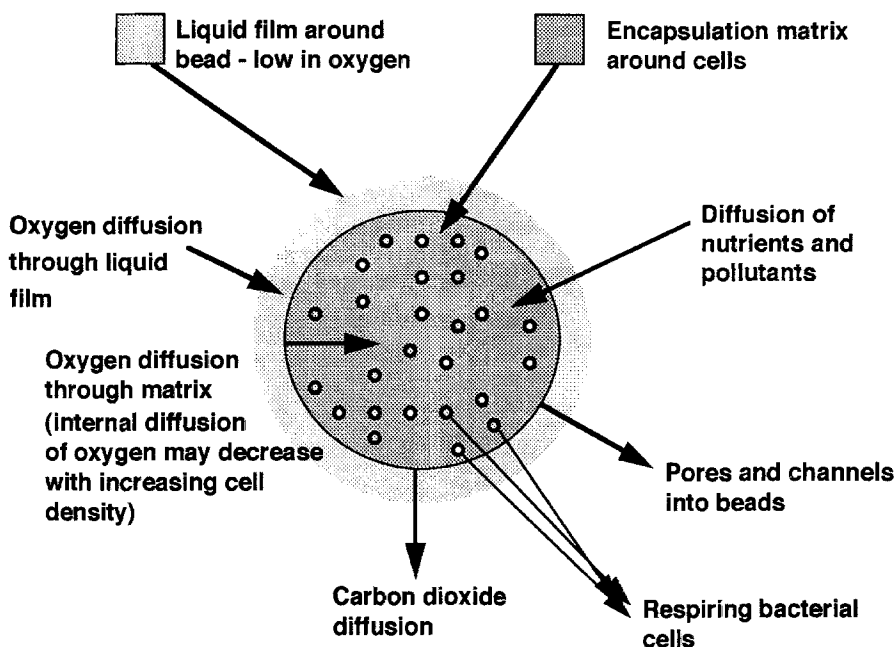


Figure 3 Schematic representation of oxygen diffusion into bead, oxygen consumption by respiring cells, and carbon dioxide evolution and diffusion from bead.

Nitrosomonas europaea immobilized in κ -carrageenan beads and discovered that by increasing inoculum size, effects of diffusion limitation over micro-colonies can be avoided. Results from some studies suggest the diffusion rate is decreased by increased carrier concentration [35,63,70,76,149]. However, no diffusional limitations have been reported as well [97,178,204]. Increased particle size (2.0 to 4.0-mm diameter) has resulted in decreased diffusion in some cases [4,36], but has not been observed in other studies [150], in particular, not in a study done in soil [205].

If diffusion of dissolved gases into the beads is reduced [71], oxygen-limited conditions in the bead centre may result in reduced activity [158], analogous to the hypothesis that oxygen diffusion into soil aggregates may be a limiting factor for bacterial activity [77]. Oxygen gradients have been identified using microelectrodes in single beads [21,86,89,125], but Muller *et al* [143] questioned these results by demonstrating through microscopic observations and photography that artifacts and diffusional barriers were being created by unidirectional movement of the electrode into the bead, and suggested that earlier experiments may have to be re-evaluated.

Aspects of the encapsulation process itself, such as the temperature of the preparation of encapsulated cell beads and the presence of ions, particularly K^+ , can contribute to lower oxygen uptake rates (OURs) of encapsulated cells [179]; specific OURs of κ -carrageenan-encapsulated *Streptomyces clavuligerus* cells were 50% of the rates of free cells. Gelling time to attain maximum hardness of κ -carrageenan beads was 40 min in 1 M KCl, but after this time the OUR of the encapsulated cells had fallen to 20% of the rate of encapsulated cells exposed to 1 M KCl for 2 min. The OUR of κ -carrageenan-encapsulated cells exposed for 1 h at 1 M KCl was almost zero. This indicates that the encapsulation process itself plays an integral role in

determining detrimental effects on cells, and can be adjusted to minimize those effects.

Some researchers are creatively exploring alternatives and adjusting existing technology to overcome possible oxygen limitations. Ogbonna *et al* [156] reviewed the three main strategies used: oxygenation of bulk medium, *in situ* oxygen generators incorporated in the matrix and reduction of bead size. They concluded that using beads 0.2–1.2 mm in diameter is most likely the most effective alternative, providing more uniform cell growth and increased efficiency due to a reduced nutrient diffusion barrier. Stormo and Crawford [194] used micro beads 2–50 μm in diameter to minimize the possibility of oxygen limitation. They claimed further advantages of high cell loadings (>50%, w/v) and cells as active as free cells based on the ability to mineralize pentachlorophenol (PCP).

A novel idea for using standard size beads is to combine aerobic bacteria on the outer bead edge with facultatively aerobic bacteria in the central portion to take advantage of the difference in oxygen availability in these bead regions. This approach has been used in studies on synchronous reductive and oxidative degradation of xenobiotics by immobilized microorganisms [21], and may prove to have utility in environmental applications. A more extensive discussion of co-immobilization of mixed cultures has been done by O'Reilly and Scott [152].

For soil applications, optimization of pore sizes to provide protection without adverse effects on diffusion of substrates is necessary to maximize effectiveness, and consideration must be given to the different options available. Scott *et al* [181] suggested the pore structure of alginate gels was significantly different from that of κ -carrageenan, resulting in reduced diffusivity of glucose through alginate. The authors observed a significant decrease in the glucose diffusion coefficient from 6.6×10^6 to 5.0×10^6 $\text{cm}^2 \text{s}^{-1}$ over a range of 1–3% in alginate, but observed no signifi-

cant difference in the coefficient over a range of 1–4% in κ -carrageenan. The factors which affected solute diffusion were the concentration of the polysaccharide, the encapsulation method and the addition of amendments, all of which may have resulted in reduced pore sizes.

Hu *et al* [87] successfully used polyurethane foams and suggested that a combination of wide porosity range and good mechanical properties of polyurethane potentially eliminate diffusional barriers, but these non-biodegradable carriers may be more applicable to bioreactor processing than to environmental releases.

Adjustments of the formulation processes may improve carrier effectiveness in soil. In our research program, the new formulation uses less carrier material (1% κ -carrageenan), less hardening time in the salt solutions (30 min), and processing was carried out at ambient temperature (20–22°C) [29]. These conditions may reduce adverse diffusional effects on encapsulated cells and are areas for further study.

Encapsulation can provide a stabilizing effect on cells with respect to diffusivity and temperature. For example, temperatures between 0 and 80°C do not affect the alginate pore sizes, and the diffusivity of κ -carrageenan gel remains unchanged below 25°C [149], temperature ranges generally found in soils in temperate climates. Wijffels *et al* [229] observed the nitrification process of encapsulated *Nitrosomonas europaea* and *Nitrobacter agilis* was insensitive to temperature changes from 5 to 30°C as compared to free cells. Adlercreutz *et al* [3] observed that *Gluconobacter oxydans* cells encapsulated in alginate oxidized glycerol at similar rates between 25 and 50°C, while oxidative activity in free cells decreased rapidly between these temperatures.

Effects of immobilization on the mass transfer of substrates are varied, and may depend on a number of factors in the immobilization process. Further study, perhaps with new non-invasive techniques, may provide more information on whether oxygen diffusion may be limiting to encapsulated microbial cell activity.

The mass transfer characteristics in a soil system differ from those in bioreactor systems, and although there is a lack of data using encapsulated cells in soil studies to establish any diffusional effect, it may be possible to optimize the encapsulation process to minimize diffusional problems.

Alterations in cell morphology

Altered cell morphology of encapsulated cells has been observed in some instances which may or may not result in physiological changes as well. For example, immobilized *Claviceps fusiformis* cells underwent significant morphological changes during the semi-continuous production of alkaloids over a period of 550 days [109]. Micropellet formation within alginate-encapsulated, but not free cells of *Streptomyces aureofasciens* have also been noted [123]. Doran and Bailey [47] observed a number of changes in *S. cerevisiae* cells attached to gelatin. The mean size of immobilized cells were about two-thirds that of suspended cells, the immobilized cells contained only about one-fourth the amount of stable double-stranded RNA found in suspended cells, immobilized cells contained almost four times

more polysaccharide than suspended cells and the average DNA content of immobilized cells was 3.8 times that of suspended cells. Steric constraints of colonies enclosed in pores were suggested as a reason for the morphological differences observed in alginate-encapsulated *Botryococcus braunii* cells compared to free cells [13].

The increase in metabolic activity which has been observed in encapsulated cells may result from altered cell morphology. For example, membrane permeability of immobilized cells has been postulated as an explanation for observed changes in metabolic activity by Marcipar *et al* [124] and Mattiasson [132] who observed increased oxygen consumption of immobilized *Saccharomyces cerevisiae* cells, and by Galazzo and Bailey [65] who recorded a decrease in the intracellular pH and an increase in the glucose uptake rates of alginate-encapsulated *S. cerevisiae* cells. Pertot *et al* [164] observed thick-walled, swollen *Claviceps paspali* cells with granular cytoplasm and vacuoles when encapsulated in alginate which had never been seen with free cells. They suggested that this cell differentiation may have resulted from limited diffusion of oxygen and nutrients causing unfavourable environmental conditions in the beads, but proposed the idea that cell differentiation may be responsible for prolonged vitality and metabolic activity of the cells.

However, not all researchers agree that morphological changes are due to encapsulation. For example, Keweloh *et al* [100] observed an envelope surrounding microcolonies formed by encapsulated *E. coli* cells. They suggested that formation of the envelope may be due to formation of microcolonies and not a result of encapsulation since the morphology of cells in colonies can be markedly different than free-grown cells. Morphological changes are not seen in all cases. For example, alginate-encapsulated cyanobacteria *Anabaena* ATCC 27893 were observed to be morphologically normal over a period of 792 h [144]. There is also controversy over the influences of immobilization on microbial metabolic activity [189], and the effect may be species-specific.

Low water activity and/or oxygen deficiency of the bead microenvironment were suggested as reasons for altered properties of immobilized cells [132]. Shreve and Vogel [185] investigated the influence of immobilization on cellular growth and substrate utilization. They used a 2,4-D-degrading *Pseudomonas cepacia* strain DBO131 and toluene-degrading *Pseudomonas* sp strain K 3-2 in a system designed to measure effects on growth and substrate utilization. No difference in substrate utilization between immobilized and free cells was observed for either strain, and no growth rate difference was observed between free and immobilized *P. cepacia* cells. The slower growth rate observed for immobilized *Pseudomonas* sp strain K 3-2 was attributed to the formation of a diffusible intermediate during toluene degradation. They concluded that much of the previously observed changes in metabolic activities upon immobilization may be due to changes in the physical or chemical environment, such as localized water activity, pH, temperature, ion concentrations, nutrient and waste product concentration and oxygen and nutrient diffusion. Keweloh *et al* [100] also indicated that it is not the effects on the beads, but rather reduced water activity or oxygen

deficiency in microcolonies that influence cell physiology. They concluded that close cell-to-cell contact influences the dynamic and not the structural aspects of the membrane since after the dissolution of the beads, the liberated bacteria were as sensitive to phenol as cells grown in the free state. Shirai *et al* [184] observed an increased oxygen uptake rate of growing alginate-encapsulated hybridoma cells correlating with the formation of colonies. They also concluded that close cell contact can influence changes in cell physiology.

Therefore, while some morphological and physiological changes occur in encapsulated cells, they may be a result of other limitations such as diffusion, and may prove to be beneficial in improving activity. If limitations are reduced or removed, the cellular changes might also be minimized, but it must be stressed that changes may be species-specific and investigative testing may be necessary to determine any potential benefits or drawbacks. Further information and discussion of these alterations can be found in a review by Rehm and Omar [172], who also concluded that more investigation is required to explain the observed effects.

Growth rates of immobilized microbial cells

Growth responses of encapsulated cells may vary depending on the initial inoculum size used, and the actual cell position within the bead. When low cell densities (8×10^6 cells g^{-1} of beads) were used, the growth rate of alginate-encapsulated *Saccharomyces cerevisiae* cells was similar to that of free cells in suspension, and growth may have occurred initially throughout the bead [217]. With larger initial cell densities, the majority of cell growth appeared to occur at or close to the bead surface [33], limited to the outer 50–150 μm of the beads [53,218]. Champagne *et al* [33] also observed growth of *Lactococcus lactis* when a lower level (1×10^9 CFU ml^{-1}) of inoculum was used for immobilization, but not with a higher (2×10^{10} CFU ml^{-1}) inoculum level. Berry *et al* [17] observed large *E. coli* colonies near the surface and in the centre of κ -carrageenan beads when very low (4.7×10^3 CFU ml^{-1} of gel bead) inoculum densities were allowed to grow. Chen and Huang [36] studied *Trichosporon cutaneum* cells encapsulated in alginate beads ranging from 0.6 to 4.0 mm in diameter which had been incubated in a growth medium for 24 h after encapsulation. In beads 1.5 mm in diameter or less, cells were more homogeneously distributed throughout the bead, whereas above 2 mm in diameter, larger cell populations were observed near the surface. In another study [231] on-line microscopy was used as a non-invasive technique to observe growth of a *S. cerevisiae* microcolony inside alginate. Cells of the growing microcolony pushed the surrounding gel away, leading to dense packing of cells in fluid, and not in the alginate gel.

In general, cell crowding may affect cell growth [97]. Lag times and growth rates can be affected, but the nature of the effect depends on the immobilization material, cell loading and/or type of cells used. Galazzo and Bailey [65] observed no lag during growth of *S. cerevisiae* cells encapsulated in alginate beads, while a 6-h lag was observed with free cells. However, growth rate in beads was observed to be slower ($\mu = 0.25$ h^{-1}) as compared to free cells ($\mu = 0.41$ h^{-1}). Other researchers have observed reduced

growth rates in encapsulated cells as well [12,44,82,109,117]. However, Zhang *et al* [237] observed similar specific growth rates between free and alginate-encapsulated *E. coli* cells. No difference in lag time was observed with alginate-encapsulated *T. cutaneum* cells (3 h) compared with free cells (10 h). However, the doubling time of cells in the beads was faster (3 h) compared with free cells (4 h). Chevalier and de la Noue [37] observed similar growth rates between microalgae entrapped in κ -carrageenan and free cells. Using scanning microfluorimetry and cellular RNA content as an indicator of cell growth, Monbouquette and Ollis [136] observed that alginate-encapsulated *Zymomonas mobilis* cells in a single bead exhibited specific growth rate variations from resting to maximal. Thus, there is no consistent effect of encapsulation on growth rate. Further studies in this area are necessary to determine if altered growth rates from encapsulation may affect physiological functions of the cells.

Role of water activity in microbial encapsulation

Dry formulations allow easy handling, distribution and application of the encapsulated microorganisms. Excluding water slows cell metabolic rates, prevents nutrient depletion, prevents accumulation of toxic metabolites and slows denaturation of proteins [173]. However, drying of the gel-beads after encapsulation reduces viable cell numbers to about 1% of the original cell count [16,29,95,163]. Paul *et al* [163] suggested the extent of survival is dependent on a number of parameters such as the strain, carrier composition, method used, and the drying conditions. After the initial drying period, however, survival appears to stabilize for periods of up to 3 years [29,141,163]. The ability to store encapsulated cells for long periods of time is advantageous for potential commercial use.

Water activity may play an important role in survival. Mugnier and Jung [141] studied the relationship between water activity and survival of cells of *Rhizobium*, *Agrobacterium*, *Arthrobacter*, *Penicillium* and *Saccharomyces* spp encapsulated in xanthan gum. Survival was not adversely affected by the extreme dehydration of the cells, but was adversely affected by the appearance of water of hydration. Solutes can diffuse in this water which, by an osmotic effect, destroys the cells. Dried xanthan gum-entrapped cells maintained viability at their initial level of 10^{10} g^{-1} dry polymer at 28°C for more than 3 years, but only when inocula were held at an a_w of less than 0.069. The authors suggested that below this level there may be a monolayer of water surrounding and bound to the bead so solutes are not mobile. Between a_w values of 0.069 and 0.083, solute concentration and mobility allow water/solutes to reach cell surfaces and destroy cells by an osmotic effect. When a_w is greater than 0.083, the aqueous solution surrounding cells is sufficiently dilute to remove the adverse conditions. However, they found that water activity alone was not causing the deleterious effect, as variations in survival occurred depending on the nutrient sources used to grow the microorganisms, with higher molecular weight compounds (C_6 to C_{12}) having a protective effect. These results differ from those reported by Paul *et al* [163] who found that water activity in the range 0–0.55 had no effect on viability of alginate-entrapped *Azospirillum lipoferum* during storage

for more than 150 days. These authors suggested that the difference between their results and those of Mugnier and Jung [141] may be due to differences in experimental conditions, the carrier materials used and the physiological state of the cells.

Little is known about the effect of water activity on encapsulated cells introduced to soil. Rattray *et al* [171] investigated effects of water activity on free genetically-engineered *E. coli* cells introduced into soil. They found increasing water stress had an immediate inhibitory effect on microbial activity and resulted in significant reductions in long-term survival. Both alginate and κ -carrageenan have a high affinity for water. The effect of water activity on microbial cells encapsulated in either matrix and introduced into soil still needs to be investigated.

Colonization of beads by soil fungi

Since both alginate and κ -carrageenan can be utilized as nutrient sources by fungi, the possibility exists that beads may be colonized by indigenous soil fungi which outcompete bacterial cells inside and on the surface of beads. Electron micrographs we prepared indicate the presence of fungal mycelia on the outer κ -carrageenan bead surface after incubation in non-sterile soil for 4 weeks (Figure 4). Eikmeier *et al* [53] observed that when an abundant nitrogen source was available ($0.5 \text{ g L}^{-1} \text{ NH}_4\text{NO}_3$), *Aspergillus niger* encapsulated into alginate and κ -carrageenan formed a dense layer on the surface of the beads, limiting diffusion into the beads and causing a large decrease in cell growth inside the beads. However, complex formation between the polysaccharide and clays in beads may not allow complete availability of the biopolymer. More studies are needed to determine if fungi residing on bead surfaces can affect the physiology of encapsulated cells.

Use of immobilized microbial cells for the degradation of xenobiotic compounds

There have been numerous studies on the degradation of various toxic organic compounds using immobilized microbial cells in bioreactors (Table 1). The number of studies completed, the variety of microorganisms used and the number of compounds degraded attest to its effectiveness in a closed system.

We have studied the ability of encapsulated cells to mineralize pentachlorophenol (PCP) in broth. Four bacterial strains capable of PCP degradation were compared, using both free cells and cells encapsulated in our new milder κ -carrageenan formulation [29]. The four strains included *Flavobacterium* sp ATCC 39723, originally isolated by Saber and Crawford [174] and shown to be able to mineralize up to 150 ppm PCP; *Sphingomonas* RA2 originally isolated and designated as a *Pseudomonas* sp by Radehaus and Schmidt [170] also capable of mineralizing up to 150 ppm PCP; and two novel *Pseudomonas* strains isolated and characterized in our laboratory and capable of mineralizing over 100 ppm PCP at rates faster than either the *Flavobacterium* or the *Sphingomonas* sp (unpublished results). No statistically significant difference was observed in the percent of $^{14}\text{CO}_2$ evolved from [^{14}C]PCP by encapsulated and free cells (Figure 5). This illustrates the mildness of the encapsulation process for a variety of cell types and the ability of the encapsulated cells to maintain degradative activity comparable to free cells. Although encapsulated cells can be effective in broth and in bioreactors, efficient degradation of pollutants in soil is desired if cells are to be used to treat dispersed contaminants in the open environment.

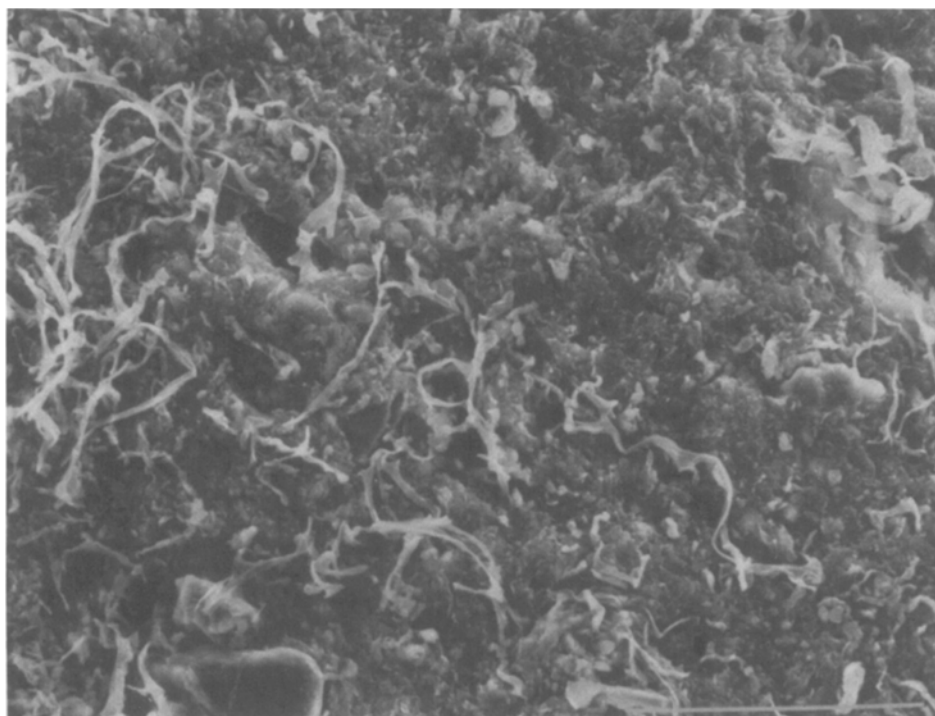


Figure 4 Fungal mycelia on the surface of κ -carrageenan bead after 4 weeks in non-sterile soil (bar = $1.0 \mu\text{m}$).

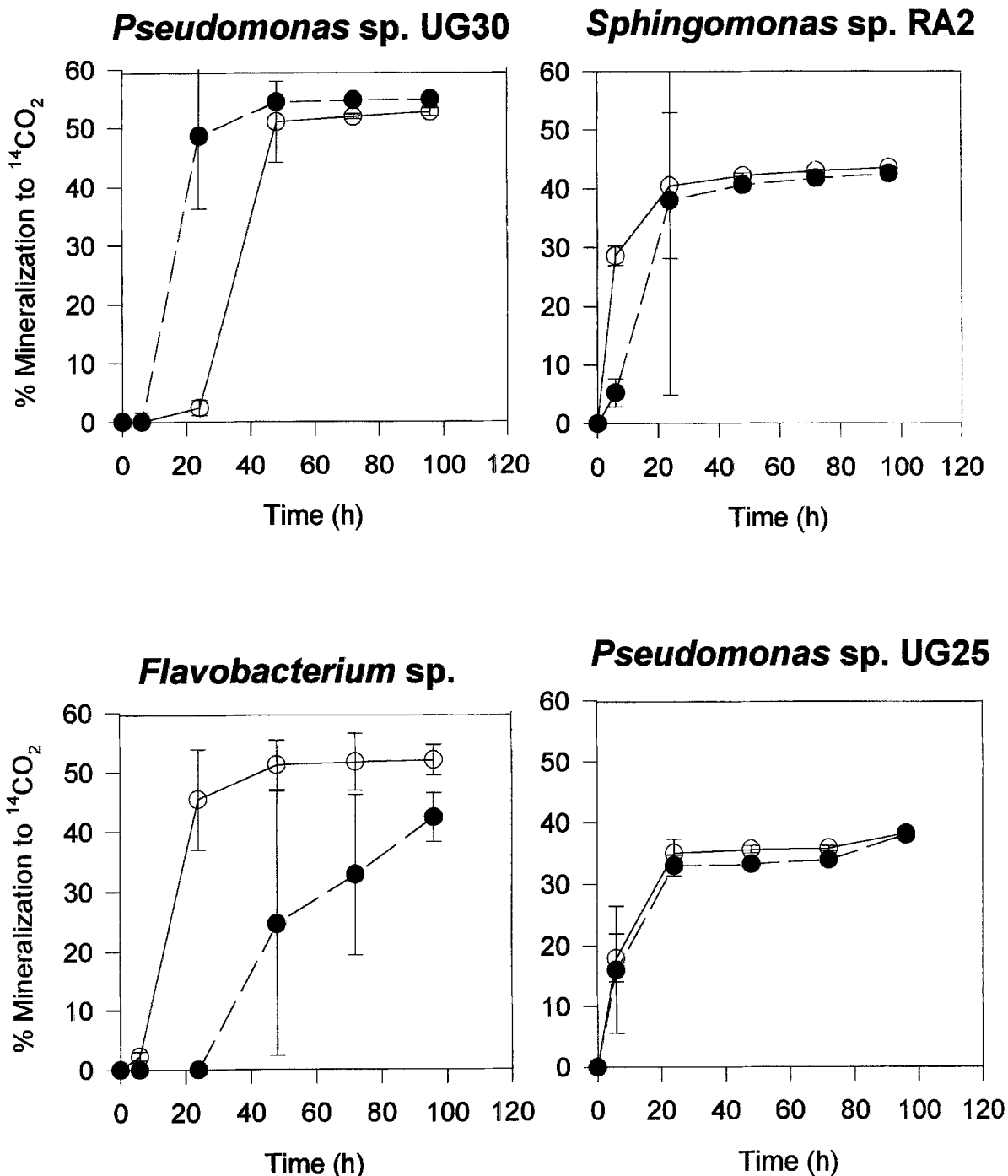


Figure 5 Mineralization of 100 ppm pentachlorophenol by four species of free (○) and encapsulated (●) bacterial cells.

Degradation of toxic organic compounds in soil by introduced microorganisms

Successful degradation of toxic compounds in soil by free bacterial cells has been demonstrated by a number of researchers. Table 3 provides examples of pollutants degraded by microbial inocula added to soils. Many introductions of microorganisms into soils to perform a particular degradative function have not met with success. As discussed earlier, the use of a carrier may improve the success rate by overcoming some of the problems that inocula introduced into the environment have encountered.

In cases where remediation was successful in contaminated soil, the rate and extent of pollutant removal could be highly variable depending on factors such as soil type, temperature, moisture level and initial inoculation density [40]. The fastest mineralization rates usually occur with the highest inoculation levels (10^8 CFU g^{-1} soil) although rates were strain-dependent [40,84,94]. In many cases it was demonstrated that degradation of pollutants in soil could be enhanced by addition of bacteria under optimal conditions [14,84,94,210], but studies using non-sterile, contaminated field soil also resulted in pollutant degradation by intro-

Table 3 Examples of pollutants successfully degraded by microbial inocula introduced into soil

Compound degraded	Microorganism	System	References
Atrazine	Microbial consortium	soil	[9]
Chlorobenzoate	<i>Pseudomonas aeruginosa</i> JB2 <i>P. putida</i> P111	soil	[84]
Chlorobenzenes	<i>P. aeruginosa</i> RH01	soil slurry	[25]
Chlorophenol	Mixed culture	composting contaminated soil	[210]
4-Chlorophenol	<i>Alcaligenes</i> sp A 7-2	sandy soil	[14]
2,4-Dichlorophenoxyacetic acid	<i>Alcaligenes eutrophus</i> <i>Pseudomonas cepacia</i>	soil	[94]
Nitroaromatic compounds	Various microorganisms	soil	[130]
Pentachlorophenol	<i>Arthrobacter</i> sp ATCC 33790	soil	[50]
Pentachlorophenol	<i>Rhodococcus chlorophenolicus</i> (<i>Mycobacterium</i>)	heavily polluted soil	[135]
Pentachlorophenol	<i>Arthrobacter</i> sp ATCC 33790	sand	[49]
Pentachlorophenol	<i>Arthrobacter</i> sp ATCC 33790	clay soil	
Pentachlorophenol	<i>Rhodococcus chlorophenolicus</i>	soil	[23]
Pentachlorophenol	<i>Flavobacterium</i> sp ATCC 39723	contaminated soil	[40]
Pentachlorophenol	<i>Flavobacterium</i> sp ATCC 39723	soil	[182]
Pentachlorophenol	<i>Phanerochaete</i> spp	soil	[112]
Pentachlorophenol	<i>Phanerochaete</i> sp	soil	[113]
2,4,5-Trichlorophenoxyacetic acid	<i>Pseudomonas cepacia</i>	soil	[102]

duced bacteria [40,135,182,210], and fungi [112, 113].

The results from these studies provide an indication of the potential use of microorganisms for soil remediation. The possibility of commercial application in the future may depend in part on an effective and safe carrier.

Application of immobilization technology for use in bioremediation of contaminated aquifers

Although this review focuses more on the remediation of contaminated soils, there is work being done to examine the potential for the use of encapsulated cells to remediate contaminated aquifers. Stormo and Crawford [194] investigated *in situ* aquifer bioremediation using microencapsulation of *Flavobacterium* sp ATCC 39723 in agarose-gel beads 2–50 μm in diameter. The authors used microencapsulated cells at 5×10^7 cells per gram of aquifer material and reported no significant difference in PCP degradation between free and encapsulated cells. They also determined survivability of cells under conditions of starvation, predation and no water flow and observed that microencapsulated cells in the non-sterile treatments after both 13 and 24 months were the only ones to exhibit PCP degradation after enrichment to 100 ppm.

Application of immobilization technology for use in bioremediation of contaminated soil

Investigations using immobilization of microbial cells for biodegradation of contaminated soils are few in number, but promising in their results. Omar and Rehm [160] observed that *Candida parapsilosis* and *Penicillium frequentans* effectively degraded *n*-alkanes when immobilized on granular clay in columns. The authors observed residuals of C_{12} to C_{18} alkanes from 13.4 to 32.3% of original levels with immobilized cells, whereas using cells in aquifer sand resulted in residuals between 85.9 and 98.9%. They suggested the degradation potential in soil may be enhanced by inoculating with immobilized microorganisms. Meusel

and Rehm [134] used a packed-bed fermenter filled with sand to imitate soil systems and found that immobilized and free *Xanthobacter autotrophicus* cells completely degraded up to 20 mM of dichloroacetic acid in the sand. Wiesel *et al* [226] observed that a mixed bacterial culture immobilized on granular clay exhibited good growth, and demonstrated equivalent degradation potential of polyaromatic hydrocarbons (PAHs) compared to freely suspended cells in their model soil system. Balfanz and Rehm [14] used *Alcaligenes* sp A 7-2 immobilized on granular clay in a packed-bed fermenter with sandy soil to degrade 70.3 mg L^{-1} 4-chlorophenol. The authors also observed that in semi-continuous culture the degradation rate of the free cells slightly increased, from 2.4 to 2.6 mg $\text{L}^{-1} \text{h}^{-1}$ during fermentation, but with immobilized cells, the degradation rate continuously increased from 3.1 to 10 mg $\text{L}^{-1} \text{h}^{-1}$. Overmeyer and Rehm [162] observed degradation of up to 25 mM 2-chloroethanol using *Pseudomonas putida* US2 cells immobilized on lecaton granulate in a packed-bed fermenter with sand to simulate soil conditions.

Encapsulated cells have also been investigated for biodegradation in soil. For example, Salkinoja-Salonen *et al* [176] observed that alginate-immobilized *Rhodococcus chlorophenolicus* inoculum retained its PCP-mineralizing activity in soil more effectively than the free cell inoculation. Co-immobilization using living cells and activated carbon in alginate has also demonstrated effectiveness in degrading PCP in solution, soil extract and sand [121]. Although Weir *et al* [221] observed no degradation of phenanthrene using log 8 CFU g^{-1} dry soil alginate-clay-skim milk-encapsulated *Pseudomonas aeruginosa* UG14Lr cells in creosote-contaminated soil slurries, survival of encapsulated cells was higher after 30 days with log 2 CFU g^{-1} dry soil recovered. Free cells, however, were not detected after 18 days.

Summary

Immobilization/encapsulation of microorganisms is effective for many applications in closed, controlled bioreactor systems. The encapsulation procedure is simple, applicable to a range of microorganisms without detrimental effects, and a variety of carriers allow choices for various applications. Industrial processes involving microorganisms have become more efficient and cost-effective with the application of immobilization technology. Considerable research on immobilized microorganisms has been done to optimize the microbial processes. Even with the large body of literature available, there are still questions and mechanisms which need further elucidation. Diffusion of substrates through the immobilizing matrix, alterations in physiology or morphology of immobilized cells, cell growth rates and the role of water activity are areas where there are wide variations in results, and therefore it is difficult to make generalizations. Improvements in investigative techniques and standardization of methods between studies may provide a clearer understanding of these significant areas, and may provide the means for consistent success. While a successful process in the lab under controlled conditions does not imply similar success in an uncontrolled environment, it is a good starting point to focus on addressing potential problems. One major reason for considering the use of encapsulation technology for environmental purposes is that the encapsulation process itself adds a modicum of control, potentially becoming a miniature bioreactor in the environment [133]. Applications of free microorganisms directly into the environment to enhance biological processes or remediate contaminated areas have achieved mixed results, with both successes and failures reported. Advances in genetic engineering may further enhance the effectiveness of microorganisms to perform specific tasks. The combination of improved microbial metabolism with the benefits of immobilization, such as increased metabolic activity, increased plasmid stability, and protection from environmental stresses and toxicity observed in bioreactor studies, may optimize the effectiveness of environmental inocula application. The ease of storage, transportation and application of encapsulated cells provides additional benefits for commercial purposes, and existing mechanical equipment can be utilized. Biosafety features that limit contamination and bioaerosol formation are important requirements for public and environmental health.

Environmental applications of microbial inocula would be less harmful to the environment than chemical treatment or physical removal of soil or water off-site, and may provide cost reductions if it can be proven to be effective. The application of immobilization technology to this area is in its preliminary stages, but the results seen so far are promising. More research is needed to establish the potential effectiveness of immobilization technology for use in the environment, particularly in the areas of microbial survival, establishment, transport and ability to mineralize different pollutants in varied soil systems.

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